

Findable and Reusable Workflow Data Products: A Genomic Workflow Case Study

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Abstract.

While workflow systems have improved the repeatability of scientific experiments, the value of the processed (intermediate) data have been overlooked so far. In this paper, we argue that the intermediate data products of workflow executions should be seen as first-class objects that need to be curated and published. Not only will this be exploited to save time and resources needed when re-executing workflows, but more importantly, it will improve the reuse of data products by the same or peer scientists in the context of new hypotheses and experiments. To assist curator in annotating (intermediate) workflow data, we exploit in this work multiple sources of information, namely: i) the provenance information captured by the workflow system, and ii) domain annotations that are provided by tools registries, such as Bio.Tools. Furthermore, we show, on a concrete bioinformatics scenario, how summarising techniques can be used to reduce the machine-generated provenance information of such data products into concise human- and machine-readable annotations.

Keywords: FAIR, Linked Data, Scientific Workflows, Provenance, Bioinformatics, Data Summaries

1. Introduction

We have witnessed in the last decade a paradigm shift in the way scientists conduct their experiments, which are increasingly data-driven. Given a hypothesis that the scientist seeks to test, verify or confirm, s/he processes given input datasets using an experiment which is modelled as a series of scripts written in languages such as R, Python and Perl, or pipelines composed of connected modules (also known as workflows [1, 2]). For example, the recent progress in sequencing technologies, combined with the reduction of their cost has led to massive production of genomic data with growth rates that exceed major manufacturers' expectations [3]. A single research lab that is using the last generation sequencer can currently generate in

one year¹ the equivalent of the world-wide collaborative sequencing capacity in 2012 [4].

The datasets obtained as a result of the experiment are analyzed by the scientist who then reports on the finding s/he obtained by analyzing the results [5]. As a response to the reproducibility movement [6], which has gained great momentum recently, scientists were encouraged to not only report on their findings, but also document the experiment (method) they used, the datasets they used as inputs, and eventually, the datasets obtained a result. To assist scientist in the task of reporting, a number of methods and tools have been proposed (see e.g., [7–9]). In [10] Gil *et al.* propose data narratives to automatically generate text to describe computational analyses that can be presented to users and ultimately included in papers or reports.

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¹Theoretically around 2500 whole genomes per year with an Illumina NovaSeq technology

1 While we recognize that such proposals are of great
2 help to the scientists and can be instrumental to a cer-
3 tain extent to check the repeatability of experiments,
4 they are missing opportunities when it comes to the
5 reuse of the intermediate data products that are gener-
6 ated by their experiments. Indeed, the focus in the re-
7 ports generated by the scientist is put on their scientific
8 findings, documenting the hypothesis and experiment
9 they used, and in certain cases, the datasets obtained as
10 a result of their experiment. The intermediate datasets,
11 which are by-products of the internal steps of the ex-
12 periment, are in most cases buried in the provenance
13 of the experiment if not reported at all. The availability
14 of such intermediate datasets can be of value to third-
15 party scientists to run their own experiment. This does
16 not only save time for those scientists in that they can
17 use readily available datasets but also save time and
18 resources since some intermediate datasets are gener-
19 ated using large-scale resource- and compute-intensive
20 scripts or modules.

21 We argue that intermediate datasets generated by the
22 steps of an experiment should be promoted as first-
23 class objects on their own right, to be findable, ac-
24 cessible and ultimately reusable by the members of
25 the scientific community. We focus, in this paper, on
26 datasets that are generated by experiments that are
27 specified and enacted using workflows. There has been
28 recently initiatives, notably FAIR [11], which specify
29 the guidelines and criteria that need to be met when
30 sharing data in general. Meeting such criteria remains
31 challenging, however.

32 In this paper, we show how we can combine prove-
33 nance metadata with external knowledge associated
34 with workflows and tools to promote processed data
35 sharing and reuse. More specifically, we present FRESH
36 an approach to associate the intermediate, as well as
37 the final, datasets generated by the workflows with
38 annotations specifying their retrospective provenance
39 and their prospective provenance (i.e., the part of the
40 workflow that was enacted for their generation). Both
41 prospective and retrospective provenance can be over-
42 whelming for a user to understand them. Because of
43 this, we associate datasets with a summary of their
44 prospective provenance. Moreover, we annotate the
45 datasets with information about the experiment that
46 they were used in, e.g., hypothesis, contributors, as
47 well as with semantic domain annotations that we au-
48 tomatically harvest from third-party resources, in par-
49 ticular, Bio.Tools² [12]. **Our ultimate objective is to**

50
51 ²<http://bio.tools/>

1 **promote processed data reuse in order to limit the** 2 **duplication of computing and storage efforts asso-** 3 **ciated to workflow re-execution.**

4 The contributions of this paper are the following:

- 5 – Definition of workflow data products reuse in the
6 bioinformatics domain.
- 7 – A knowledge-graph based approach aimed at an-
8 notating raw processed data with domain-specific
9 concepts, while limiting domain experts over-
10 whelming at the time of sharing their data.
- 11 – An experiment based on a real-life bioinformat-
12 ics workflow, that can be reproduced through an
13 interactive notebook.

14
15 This paper is organised as follows. Section 2 presents
16 motivation and defines the problem statement. Sec-
17 tion 3 details the proposed FRESH approach. Sec-
18 tion 4 presents our experimental results. Section 5
19 summarises related works. Finally, conclusions and fu-
20 ture work are outlined in Section 6.

21 **2. Motivations and Problem Statement**

22
23 We motivate our proposal through an exome-se-
24 quencing bioinformatics workflow. This workflow
25 aims at (1) aligning sample exome data (the protein-
26 coding region of genes) to a reference genome and (2)
27 identifying genetic mutations for each of the biolog-
28 ical samples. Figure 1 drafts a summary of the bioin-
29 formatics analysis tasks required to identify and anno-
30 tate genetic variants from exome-sequencing data. For
31 a matter of clarity, we hide in this scenario some of
32 the minor processing steps such as the sorting of DNA
33 bases, but they are still required in practice. The real
34 workflow will be described in details in the experimen-
35 tal results section.

36
37 This workflow consumes as inputs two sequenced
38 biological samples `sample_1` and `sample_2`. For
39 each sample, sequencers produce multiple files that
40 need to be merged later on (`Sequence merging`
41 step). The first processing step consists in align-
42 ing [13] (`Mapping to reference genome`) the
43 short sequence reads to the human reference genome
44 (GRCh37). Then, for each sample, data are merged
45 and post-processed [14, 15] and result in binary (BAM)
46 files representing the aligned sequences with their
47 quality metrics. Finally, from these aligned sequences,
48 the genetic variants are identified [16] and enriched
49 with annotations [17] gathered from public knowledge
50
51

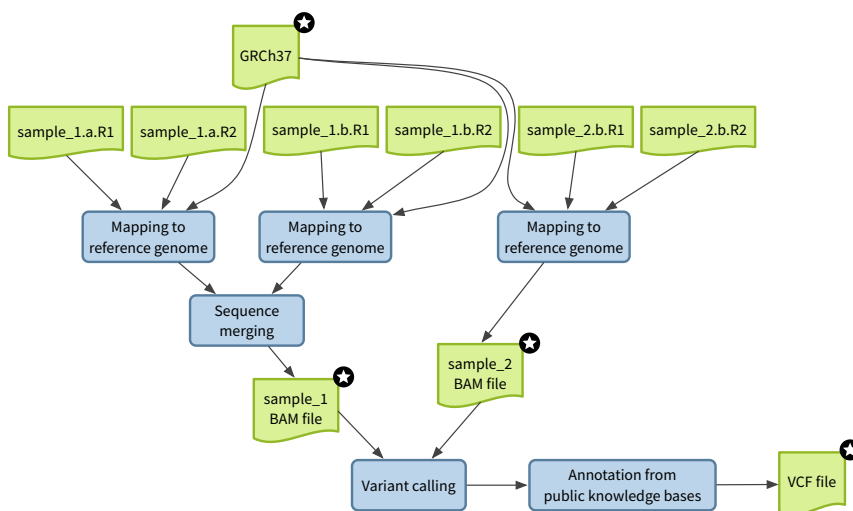


Fig. 1. A typical bioinformatics workflow aimed at identifying and annotating genomic variations from a reference genome. Green wavy boxes represent data files, and blue rounded boxes represent processing steps.

bases such as DBsnp [18] or gnomAD³. This last processing step results in a VCF file listing, for all processed sample sequences, all known genomic variations compared to the GRCh37 reference genome.

Performing these analyses in real-life conditions is computation intensive. They require a lot of CPU time and storage capacity. As an example, similar workflows are run in production in the CNRGH french national sequencing facility. For a typical exome-sequencing sample (9.7GB compressed), it has been measured that 18.6GB was necessary to store the input and output compressed data. In addition, 2 hours and 27 minutes were necessary to produce an annotated VCF variant file, taking advantage of parallelism in a dedicated high-performance computing infrastructure (7 nodes with 28 CPU Intel Broadwell cores each), which corresponds to 158 cumulative hours for a single sample, i.e. 6 days of computation on a single CPU.

Considering the computational cost of these analyses, we claim that the secondary use of data is critical to speed-up Research addressing similar or related topics. In this workflow, all processing steps produce data but they do not provide the same level of reusability. We tagged reusable data with a white star in Figure 1. More precisely, (GRCh37) is by nature highly reusable since it is a reference “atlas” for genomic human sequences, and results from state-of-the-art scientific knowledge at a given time. Then, BAM files can also be considered as more reusable than the raw input

data since they have been aligned to this atlas and thus benefit from consensual knowledge on this genome. As an example, they provide the relationship between sequences and known genes, they can be visualized in a genome viewer, they can also be reused to regenerate raw unmapped sequences.

From the scientist perspective, answering questions such as “*can or should I reuse these files in the context of my research study*” is still challenging. To reuse the final VCF variant file, it is of major importance to know the version of the reference genome as well as to clearly understand the scientific context of the study, the phenotypes associated to the samples, as well as the possible relations between samples. Finally, having precise information on the variant calling algorithm is also critical due to application-specific detection thresholds [19]. More generally, not only fine-grained provenance information regarding data and tools lineage are required but also domain-specific annotations based on community agreed vocabularies (Issue 1). These vocabularies exist but annotating processed data with domain-specific concepts requires a lot of time and expertise (Issue 2).

In this work, we show how we can improve the findability and reusability of workflow (intermediate) data by leveraging (1) community efforts aimed at semantically cataloguing bioinformatics processing tools to reduce the solicitation of domain experts, and (2) the automation and provenance capabilities of workflow management systems to au-

³<https://gnomad.broadinstitute.org>

1 **tomate the annotation of processed data, towards**
2 **more reusable workflow results.**

3. FRESH Approach

4
5 FRESH is an approach to improve the *Findability*
6 and the *Reusability* of genomic workflow data.
7 FAIR [11, 20] and Linked data[21, 22] principles con-
8 stitute the conceptual and technological backbones in
9 this direction.

10
11 FRESH partially tackles FAIR requirements for
12 better *findability* and *reusability*. We address *find-*
13 *ability*, by relying on Linked Data best practices,
14 namely associating a URI to each dataset, linking these
15 datasets in the form of RDF knowledge graphs with
16 controlled vocabularies for the naming of concepts and
17 relations.

18 Being tightly coupled to scientific context, *reusabil-*
19 *ity* is more challenging to achieve. Guidelines have
20 been proposed for FAIR sharing of genomic data [23],
21 however, proposing and evaluating *reusability* is still a
22 challenging and work in progress [24]. In this work, we
23 focus on reusable data as *annotated with sufficiently*
24 *complete information allowing, without needs for ex-*
25 *ternal resources: traceability, interpretability, under-*
26 *standability, and usage by humans or machines.*

27 To be traceable, provenance traces are mandatory
28 for tracking the data generation process.

29 To be interpretable, contextual data [11] are manda-
30 tory, this includes: i) Scientific context in term of
31 Claims, Research lab, Experimental conditions, previ-
32 ous evidence (academic papers). ii) The technical con-
33 text in term of material and methods, data sources,
34 used software (algorithm, queries) and hardware.

35 To be understandable by itself, data must be anno-
36 tated with domain-specific vocabularies. For instance,
37 to capture knowledge associated with the data process-
38 ing steps, we can rely on EDAM⁴ which is actively de-
39 veloped and used in the context of the Bio.Tools reg-
40 istry, and which organizes common terms used in the
41 field of bioinformatics. However, these annotations on
42 processing tools do not capture the scientific context
43 in which a workflow takes place. To address this issue,
44 we rely on the *Micropublications* [25] ontology which
45 has been proposed to formally represent scientific ap-
46 proaches, hypothesis, claims, or pieces of evidence, in
47 the direction of machine-tractable academic papers.

48
49
50
51 ⁴<http://edamontology.org>

1 Figure 2 illustrates our approach to provide more
2 reusable data. The first step consists in capturing
3 provenance for all workflow runs. PROV⁵ is the *de*
4 *facto* standard for describing and exchanging prove-
5 nance graphs. Although capturing provenance can be
6 easily managed in workflow engines, there is no sys-
7 tematic way to link a PROV *Activity* (the actual execu-
8 tion of a tool) to the relevant software *Agent* (*i.e.* the
9 software responsible for the actual data processing). To
10 address this issue we propose to provide, at workflow
11 design time, the tool's identifier in the tool catalogue.
12 This allows to generate a provenance trace which asso-
13 ciates (*prov:wasAssociatedWith*) each execution, and
14 thus each consumed and produced data to the software
15 identifier.

16 Then, we assemble a bioinformatics knowledge
17 graph which links together (1) the tools annota-
18 tions, gathered from the Bio.Tools registry, and pro-
19 viding information [REVISION on the functions of
20 the tools] (bioinformatics EDAM *operations*) and
21 which kind of data they consume and produce, (2)
22 the complete EDAM ontology, to gather for instance
23 the community-agreed definitions and synonyms for
24 bioinformatics concepts, (3) the PROV graph result-
25 ing from a workflow execution which provides fine-
26 grained technical and domain-agnostic provenance
27 metadata, and (4) the experimental context using
28 Micro-publication for scientific claims and hypothesis
29 associated to the experiment.

30 Finally, based on domain-specific provenance queries,
31 the last step consists in extracting few and meaning-
32 ful data from the knowledge graph, to provide scientist
33 with more reusable intermediate or final results, and to
34 provide machines findable and query-able data stories.

35 In the remainder of this section, we rely on the
36 SPARQL query language to interact with the knowl-
37 edge graph in terms of knowledge extraction and
38 knowledge enrichment.

39 Query 1 aims at extracting and linking together
40 data artefacts with the definition of the bioinformat-
41 ics process they result from. In this SPARQL query,
42 we first identify data (*prov:Entity*), the tool execu-
43 tion they result from (*prov:wasGeneratedBy*), and
44 the used software (*prov:wasAssociatedWith*). Then
45 we retrieve from the Bio.Tools sub-graph the EDAM
46 annotation which specify the function of the tool
47 (*biotools:has_function*). The definition of the func-
48 tion of the tool is retrieved from the EDAM ontol-
49

50
51 ⁵<https://www.w3.org/TR/prov-o/>

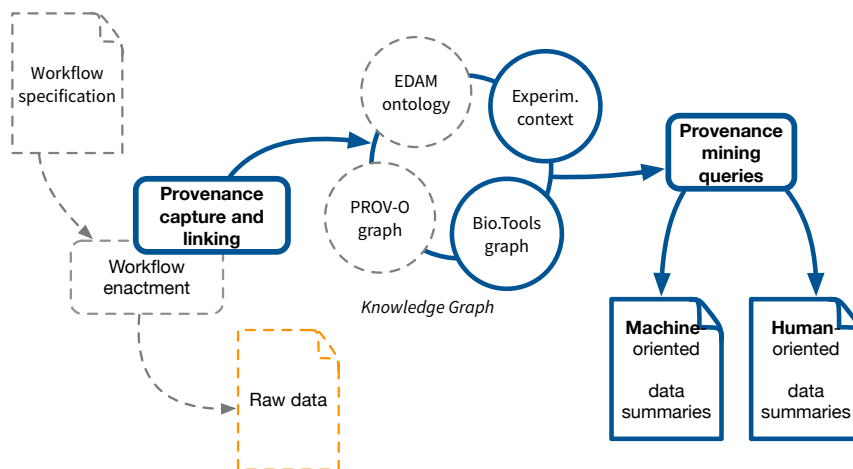


Fig. 2. Knowledge graph based on workflow provenance and tool annotations to automate the production of human- and machine- oriented data summaries.

```

SELECT ?d_label ?title ?f_def ?st WHERE {
  ?d rdf:type prov:Entity ;
  prov:wasGeneratedBy ?x ;
  prov:wasAssociatedWith ?tool ;
  rdfs:label ?d_label .

  ?tool dc:title ?title ;
  biotools:has_function ?f .

  ?f rdfs:label ?f_label ;
  oboInOwl:hasDefinition ?f_def .

  ?c rdf:type mp:Claim ;
  mp:statement ?st .
}

```

Query 1 SPARQL query aimed at linking processed data to the processing tool and the definition of what is done on data.

ogy (*oboInOwl:hasDefinition*). Finally, we retrieve the scientific context of the experiment by matching statements expressed in natural language (*mp:Claim*, *mp:statement*).

The Query 2 shows how a specific provenance pattern can be matched and reshaped to provide a summary of the main processing steps, in terms of domain-specific concepts. The idea consists in identifying all data derivation links (*prov:wasDerivedFrom*). From the identified data, the tool executions are then matched, as well as the corresponding software agents. Similarly, as in the previous query, the last piece of information to be identified is the functionality of the tools. This is done by exploiting the *biotools:has_function* predicate. Once this graph pattern is matched, a new graph is created using a CONSTRUCT query clause, to represent an ordered chain of processing steps (*p-plan:wasPrecededBy*).

```

CONSTRUCT {
  ?x2 p-plan:wasPrecededBy ?x1 .
  ?x2 prov:wasAssociatedWith ?t2 .
  ?x1 prov:wasAssociatedWith ?t1 .
  ?t1 biotools:has_function ?f1 .
  ?f1 rdfs:label ?f1_label .
  ?t2 biotools:has_function ?f2 .
  ?f2 rdfs:label ?f2_label .
} WHERE {
  ?d2 prov:wasDerivedFrom ?d1 .

  ?d2 prov:wasGeneratedBy ?x2 ;
  prov:wasAssociatedWith ?t2 ;
  rdfs:label ?d2_label .

  ?d1 prov:wasGeneratedBy ?x1 ;
  prov:wasAssociatedWith ?t1 ;
  rdfs:label ?d1_label .

  ?t1 biotools:has_function ?f1 .
  ?f1 rdfs:label ?f1_label .

  ?t2 biotools:has_function ?f2 .
  ?f2 rdfs:label ?f2_label .
}

```

Query 2 SPARQL query aimed at assembling an abstract workflow based on what happened (provenance) and how data were processed (domain-specific EDAM annotations).

4. Experimental results and Discussion

4.1. [REVISION Raw provenance traces from a bioinformatics workflow execution]

We experimented our approach on a production-level exome-sequencing workflow⁶, designed and op-

⁶https://gitlab.univ-nantes.fr/bird_pipeline_registry/exome-pipeline

erated by the GenoBird genomic and bioinformatics core facility. It implements the motivating scenario we introduced in section 2. We assume that, based on the approach beforehand presented, the workflow has been run, the associated provenance has been captured and the knowledge graph has been assembled.

The resulting provenance graph consists in an RDF graph with 555 triples leveraging the PROV-O ontology. The following two tables show the distribution of PROV classes and properties.

Table 1

[REVISION Number of instances per PROV class, resulting from the execution of the exome-sequencing workflow.]

Classes	Number of instances
prov:Entity	40
prov:Activity	26
prov:Bundle	1
prov:Agent	1
prov:Person	1

Table 2

[REVISION Number of predicates per PROV and RDF(S) property, resulting from the execution of the exome-sequencing workflow.]

Properties	Number of predicates
prov:wasDerivedFrom	167
prov:used	100
rdf:type	69
prov:wasAssociatedWith	65
prov:wasGeneratedBy	39
rdfs:label	39
prov:endedAtTime	26
prov:startedAtTime	26
rdfs:comment	22
prov:wasAttributedTo	1
prov:generatedAtTime	1

Interpreting this provenance graph is challenging from a human perspective due to the number of nodes and edges and, more importantly, due to the lack of domain-specific terms.

4.2. Human-oriented data summaries

Based on query 1 and a textual template, we show in Figure 3 sentences which have been automatically generated from the knowledge graph. They intend to provide scientists with self-explainable information on how data were produced, [REVISION and in which scientific context, leveraging domain-specific terms.]

```
[...]
The file <Samples/Sample1/BAM/Sample1.final.bam>
results from tool <gatk2_print_reads-IP> which
<Counting and summarising the number of short
sequence reads that map to genomic features.>
It was produced in the context of <Rare Coding
Variants in ANGPTL6 Are Associated with Familial
Forms of Intracranial Aneurysm>
[...]
The file <VCF/hapcaller.recal.combined.annot.
gnomad.vcf.gz> results from tool
<gatk2_variant_annotator-IP> which <Predict the
effect or function of an individual single
nucleotide polymorphism (SNP).>
It was produced in the context of <Rare Coding
Variants in ANGPTL6 Are Associated with Familial
Forms of Intracranial Aneurysm>
[...]
```

Fig. 3. Sentence-based data summaries providing, for a given file, information on the tool the data originates from, and the definition of what does the tool, based on the EDAM ontology.

Complex data analysis procedures would require a long text and many logical articulations for being understandable. Visual diagrams provide a compact representation for complex data processing and constitute thus an interesting mean to assemble human-oriented data summaries.

[REVISION Figure 4 shows] a summary diagram automatically compiled from the bioinformatics knowledge graph previously described in section 3. Black arrows represent the logical flow of data processing, and black ellipses represent the nature of data processing, in terms of EDAM operations. The diagram highlights in blue the `Sample1.final.bam`. It shows that this file results from a *Read Summarisation* step and is followed by a *Variant Calling* step.

Another example for summary diagrams is provided in Figure 5 which [REVISION highlights] the final VCF file and its binary index. [REVISION The diagram shows] that these files result from a processing step performing a *SNP annotation*, as defined in the EDAM ontology.

These visualisations provide scientists with means to situate an intermediate result, genomic sequences aligned to a reference genome (BAM file), or genomic variants (VCF file) in the context of a complex data analysis process. While an expert bioinformatician won't need these summaries, we consider that expliciting and visualizing these summaries is of major interest to better reuse/repurpose scientific data, or even provide a first level of explanation in terms of domain-specific concepts.

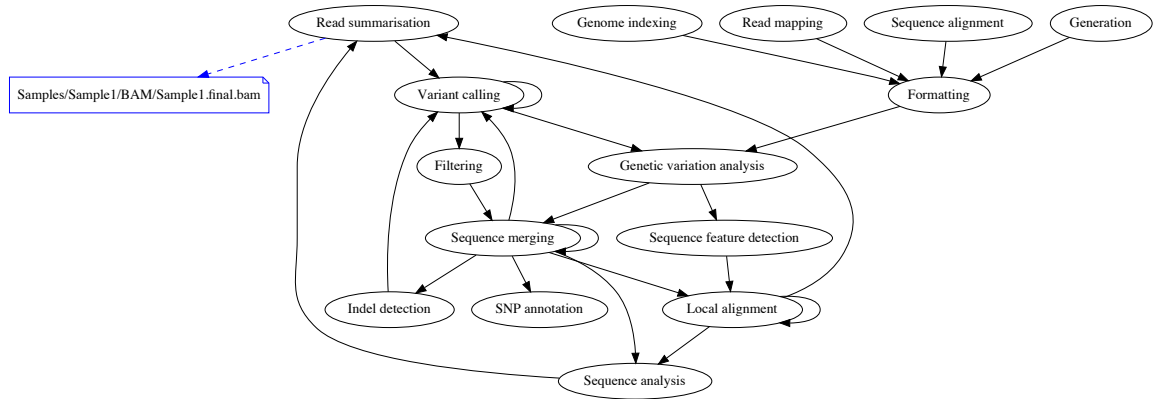


Fig. 4. The Sample1.final.bam file results from a Read Summarisation step and is followed by a Variant Calling step.

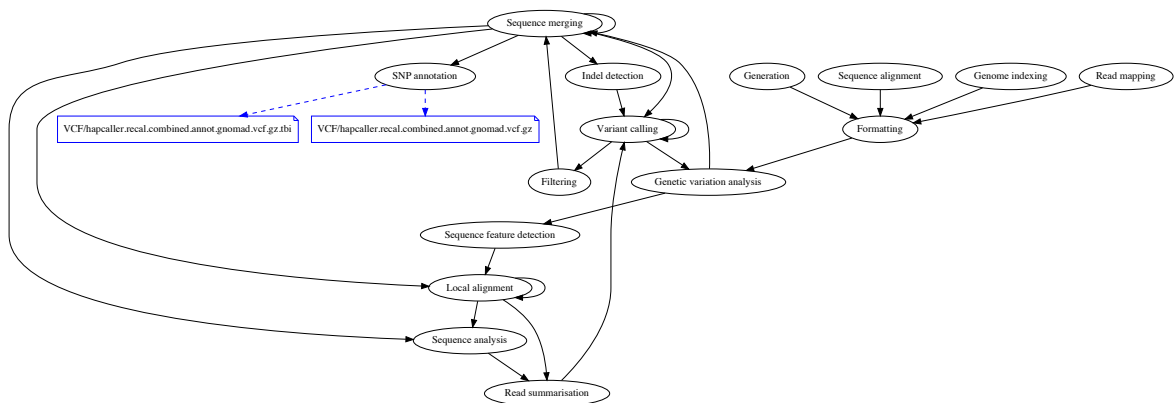


Fig. 5. Human-oriented diagram automatically compiled from the provenance and domain-specific knowledge graph.

4.3. Machine-oriented data summaries

Linked Data principles advocate the use of controlled vocabularies and ontologies to provide both human- and machine-readable knowledge. We show in Figure 6 how domain-specific statements on data, typically their annotation with EDAM bioinformatics concepts, can be aggregated and shared between machines by leveraging the NanoPublication vocabulary. Published as Linked Data, these data summaries can be semantically indexed and searched, in line with the Findability of FAIR principles.

4.4. Implementation

Provenance capture. We slightly extended the Snake-make [26] workflow engine with a provenance capture

```
[...]
:head {
  _:np1 a np:Nanopublication .
  _:np1 np:hasAssertion :assertion .
  _:np1 np:hasProvenance :provenance .
  _:np1 np:hasPublicationInfo :pubInfo .
}

:assertion {
  <http://snakemake-provenance/Samples/Sample1/
  BAM/Sample1.merged.bai> rdfs:seeAlso
  <http://edamontology.org/operation_3197> .

  <http://snakemake-provenance/VCF/hapcaller.
  indel.recal.filter.vcf.gz> rdfs:seeAlso
  <http://edamontology.org/operation_3695> .
}
[...]
```

Fig. 6. An extract of a machine-oriented NanoPublication aggregating domain-specific assertions, provenance and publication information.

Table 3
Enhancing reuse of processed data with FRESH

What ?	How?	Results
Traceable	Provenance	PROV traces
Interperable	Scientific and technical Context	Micropublications vocabulary
Understandable	Domain-Specific Context ontologies	EDAM terms
For human	Human-oriented data summaries	Text and diagrams
For machine	Machine-oriented data summaries	NanoPublications

Table 4
Time for producing data summaries

RDF Graph	load time	Text-based	NanoPub.	Graph-based
218 906 triples	22.7s	1.2s	61ms	1.5s

module⁷. This module, written in Python, is a wrapper for the AbstractExecutor class. The same source code is used to produce PROV RDF metadata when locally running a workflow, or when exploiting parallelism in an HPC environment, or when simulating a workflow. Simulating a workflow is an interesting feature since all data processing steps are generated by the workflow engine but not concretely executed. Nevertheless, the capture of simulated provenance information is still possible without paying for the generally required long CPU-intensive tasks. This extension is under revision for being integrated in the main development branch of the SnakeMake workflow engine.

Knowledge graph assembly. We developed a Python crawler⁸ that consumes the JSON representation of the Bio.Tools bioinformatics registry and produces an RDF data dump focusing on domain annotations (EDAM ontology) and links to the reference papers. RDF dumps are nightly built and pushed to a dedicated source code repository⁹.

Experimental setup. The results shown in section 4 [REVISION were obtained] by running a Jupyter Notebook. RDF data loading and SPARQL query execution were achieved through the Python RDFlib library. Python string templates were used to assemble the NanoPublication while NetworkX, PyDot and GraphViz were used for basic graph visualisations.

We simulated the production-level exome-sequencing workflow to evaluate the computational cost of producing data summaries from an RDF knowledge graph. [REVISION The simulation of the workflow execution allowed to not being impacted by the actual com-

puting cost of performing raw genomic data analysis].

Table 4 shows the cost using a 16GB, 2.9GHz Core i5 MacBook Pro desktop computer. We measured 22.7s to load in memory the full knowledge graph (218 906 triples) covering the workflow claims and its provenance graph, the Bio.Tools RDF dump, and the EDAM ontology. The sentence-based data summaries have been obtained in 1.2s, the machine-oriented NanoPublication has been generated in 61ms, and finally 1.5s to reshape and display the graph-based data summary. This overhead can be considered as negligible compared to the computing resources required to analyse exome-sequencing data as [REVISION shown] in section 2.

To reproduce the human- and machine-oriented data summaries, this Jupyter Notebook is available through a source code repository¹⁰. [REVISION To go beyond the provided experimental results, and to apply more generally the FRESH approach, the following requirements should be satisfied:

- the overall data analysis process should be formalised into a computational workflow,
- the running workflow management system should be able to dynamically capture generic provenance metadata as Linked Data, following the PROV-O ontology,
- the run tools should be semantically annotated with domain-specific concepts. These descriptions should be accessible in a machine-actionable registry through a SPARQL endpoint,
- mappings between workflow steps and the identifiers of the semantically annotated tools should be provided in the workflow specification so that provenance traces refer to semantically annotated tools.

⁷<https://bitbucket.org/agaignar/snakemake-provenance/src/provenance-capture>

⁸<https://github.com/bio-tools/biotoolsShim/tree/master/json2rdf>

⁹<https://github.com/bio-tools/biotoolsRdf>

¹⁰<https://github.com/albaignard/fresh-toolbox>

4.5. Discussion

The validation we reported has shown that it is possible to generate data summaries that provide valuable information about workflow data. In doing so, we focus on domain-specific annotations to promote the findability and reuse of data processed by scientific workflows with particular attention to genomics workflows. This is justified by the fact that FRESH meets the findability and reusability criteria set up by the FAIR community¹¹.

[REVISION Regarding findability, FRESH partly meets requirements F1 ((Meta)data are assigned a globally unique and persistent identifier), F2 (Data are described with rich metadata) and F3 (Metadata clearly and explicitly include the identifier of the data they describe) since (i) we assign Universal Unique Identifiers (UUIDs) to provenance artefacts and (ii) we reuse the NanoPublication framework, and the EDAM bioinformatics ontology to share and reuse intermediate data results based on rich metadata. Although the generated nanopublications are not yet indexed in a searchable resource, they could be published either through a SPARQL endpoint, or through the network of peer NanoPublication servers.]

[REVISION Regarding reusability, Table 3 points out the reusability aspects of FRESH in line with the FAIR community requirements]. In particular, we note that FRESH is aligned with R1.2 ((meta)data are associated with detailed provenance) and R1.3. ((meta)data meet domain-relevant community standards). As illustrated in the previous sections, FRESH can be used to generate human-oriented data summaries or machine-oriented data summaries.

Still in the context of genomic data analysis, a typical reuse scenario would consist in exploiting as inputs, the annotated genomic variants (in blue in Figure 4), to conduct a rare variant statistical analysis. If we consider that no semantics is attached to the names of files or tools, domain-agnostic provenance would fail in providing information on the nature of data processing. By looking on the human-oriented diagram, or by letting an algorithm query the machine-oriented nanopublication produced by FRESH, scientists would be able to understand that the file results from an annotation of single nucleotide polymorphisms (SNPs) which was preceded by a variant calling step itself preceded by an insertion/deletion (Indel) detection step.

We focused in this work on the bioinformatics domain and leveraged Bio.Tools, a large-scale community effort aimed at semantically cataloguing available algorithms/tools. As soon as semantic tools catalogues are available for other domains, FRESH can be applied to enhance the findability and reusability of processed data. Even if more recent, similar efforts address the bioimaging community through the setup of the BISE¹² bioimaging search engine (Neubias EU COST Action). Annotated with a bioimaging-specific EDAM extension, this tool registry could be queried to annotate bioimaging data following the same approach.

[REVISION In our work, we validated our solution by manually inspecting the usefulness of the summaries that are constructed given a real-life workflow. That said, we believe that there is a need for a benchmark that can be utilized by the community to systematically assess and compare the effectiveness of the proposed solutions. We also think that such a benchmark should be the result of a community-led effort to cater for different needs/requirements and different scientific domains.]

5. Related Work

Our work is related to proposals that seek to enable and facilitate the reproducibility and reuse of scientific artefacts and findings. We have seen recently the emergence of a number of solutions that assist scientists in the tasks of packaging resources that are necessary for preserving and reproducing their experiments. For example, OBI (Ontology for Biomedical Investigations) [27] and the ISA (Investigation, Study, Assay) model [28] are two widely used community models from the life science domain for describing experiments and investigations. OBI provides common terms, like investigations or experiments to describe investigations in the biomedical domain. It also allows the use of domain-specific vocabularies or ontologies to characterize experiment factors involved in the investigation. ISA on the other hand structures the descriptions about an investigation into three levels: Investigation, for describing the overall goals and means used in the experiment, study for documenting information about the subject under study and treatments that it may have undergone, and assay for representing

¹¹<https://www.go-fair.org/fair-principles>

¹²<http://www.biii.eu>

1 the measurements performed on the subjects. Research
2 Objects [29] is a workflow-friendly solution that pro-
3 vides a suite of ontologies that can be used for aggre-
4 gating workflow specification together with its execu-
5 tions and annotations informing on the scientific hy-
6 pothesis and other domain annotations. ReprZip [7]
7 is another solution that helps users create relatively
8 lightweight packages that include all the dependencies
9 required to reproduce a workflow for experiments that
10 are executed using scripts, in particular, Python scripts.

11 The above solutions are useful in that they help sci-
12 entists package information they have about the exper-
13 iment into a single container. However, they do not
14 help scientists in actually annotating or reporting the
15 findings of their experiments. In this respect, Alper *et*
16 *al.* [9] and Gaignard *et al.* [8] developed solutions that
17 provide the users by the means for deriving annotations
18 for workflow results and for summarizing the proven-
19 ance information provided by the workflow systems.
20 Such summaries are utilized for reporting purposes.

21 While we recognize that such proposals are of great
22 help to the scientists and can be instrumental to a cer-
23 tain extent to check the repeatability of experiments,
24 they are missing opportunities when it comes to the
25 reuse of the intermediate data products that are gener-
26 ated by their experiments. Indeed, the focus in the re-
27 ports generated by the scientist is put on their scientific
28 findings, documenting the hypothesis and experiment
29 they used, and in certain cases, the datasets obtained as
30 a result of their experiment. The intermediate datasets,
31 which are by-products of the internal steps of the ex-
32 periment, are in most cases buried in the provenance
33 of the experiment if not reported at all. The availability
34 of such intermediate datasets can be of value to third-
35 party scientists to run their own experiment. This does
36 not only save time for those scientists in that they can
37 use readily available datasets but also save time and
38 resources since some intermediate datasets are gener-
39 ated using large-scale resource- and compute-intensive
40 scripts or modules.

41 Of particular interest to our work are the standards
42 developed by the semantic web community for cap-
43 turing provenance, notably the W3C PROV-O recom-
44 mendation, and its workflow-oriented extensions, e.g.,
45 ProvONE ¹³, OPMW ¹⁴, Wfprov ¹⁵ and P-Plan [30].
46 The availability of provenance provides the means for
47 the scientist to issues queries on *Why* and *How* data

49 ¹³[REVISION <https://purl.dataone.org/provone-v1-dev>]

50 ¹⁴<https://www.opmw.org>

51 ¹⁵<http://purl.org/wf4ever/wfprov#>

1 were produced. However, it does not necessarily al-
2 low the scientists to examine questions such as "Is
3 this data helpful for my computational experiment ?",
4 or "if potentially useful, does this data has enough
5 quality ?". [REVISION These queries are] particu-
6 larly challenging since the capture of related meta-data
7 is in general domain-dependent and should be auto-
8 mated. This is partly due to the fact that provenance
9 information can be overwhelming (large graphs), and
10 partly because of a lack of domain annotations. In pre-
11 vious work [8], we proposed *PoeM* an approach to
12 generate human-readable experiment reports for sci-
13 entific workflows based on provenance and users an-
14 notations. *SHARP* [31, 32] extends *PoeM* for work-
15 flows running in different systems and producing het-
16 erogeneous PROV traces. In this work, we capitalize in
17 our previous work to annotate and summarize proven-
18 ance information. In doing so, we focus on Workflow
19 data products re-usability as opposed to the workflow
20 itself. As data re-usability require to meet domain-
21 relevant community standards (R1.3 of FAIR princi-
22 ples). We rely on Bio.tools (<https://bio.tools/>) registry
23 to discover tools descriptions and automatically gener-
24 ate domain-specific data annotations.

25 The proposal by Garijo and Gil [10] is perhaps the
26 closest to ours in the sense that it focuses on data (as
27 opposed to the experiment as a whole), and gener-
28 ate textual narratives from provenance information that
29 is human-readable. The key idea of data narratives is
30 to keep detailed provenance records of how an anal-
31 ysis was done, and to automatically generate human-
32 readable description of those records that can be pre-
33 sented to users and ultimately included in papers or re-
34 ports. The objective that we set out in this paper is dif-
35 ferent from that by Garijo and Gil in that we do not aim
36 to generate narratives. Instead, we focus on annotating
37 intermediate workflow data. The scientific communi-
38 ties have already investigated solutions for summariz-
39 ing and reusing workflows (see e.g., [33, 34]).

40 [REVISION The solution proposed by Starlinger et
41 al. [33] aims at identifying similarities between work-
42 flows. The authors exploit three sources of informa-
43 tion, namely the labels used to describe the mod-
44 ules that compose the workflow, the structure (i.e.,
45 dataflow) of the workflow, and authorship information.
46 In doing so, the authors do not tackle the problem that
47 the human user faces when trying to understand a po-
48 tentially complex workflow. Such a solution can be
49 envisaged when the aim is to effectively search sim-
50 ilar workflows in a repository given an initial input
51 workflow. Our objective is different in that we aim to

1 promote the reuse not only of workflows but also of
2 the data products that the execution of such workflows
3 produce, and we do so by leveraging summarisation
4 techniques to produce human-friendly account on the
5 data products.]

6 [REVISION Cerezo et al. [34] proposed a concep-
7 tual workflow model, close to end-user’s domain of ex-
8 pertise, aimed at enhancing the sharing and reuse of
9 scientific workflows. These conceptual workflows are
10 conceived at workflow design-time and are then semi-
11 automatically refined into concrete executable work-
12 flows through a set of semantic transformations. Al-
13 though our approach tackles reuse in data-driven sci-
14 ences, we focus on the reuse of intermediate pro-
15 duced/consumed data whereas Cerezo *et al.* focus on
16 the reuse of the data transformation process itself. In
17 addition, our approach is bottom-up, based on work-
18 flow executions, and tends to limit the solicitation of
19 domain experts, by leveraging already running seman-
20 tically annotated tools catalogues.]

21 It is worth noting that our work is complementary
22 and compatible with the work by Garijo and Gil. In
23 particular, the annotations and provenance summaries
24 generated by the solution we propose can be used to
25 feed the system developed by Garijo and Gil to gener-
26 ate more concise and informative narratives.

27 Our work is also related to the efforts of the scien-
28 tific community to create open repositories for the pub-
29 lication of scientific data. For example, Figshare¹⁶ and
30 Dataverse¹⁷, which help academic institutions store,
31 share and manage all of their research outputs. The
32 data summaries that we produce can be published in
33 such repositories. However, we believe that the sum-
34 maries that we produce are better suited for reposi-
35 tories that publish knowledge graphs, e.g., the one cre-
36 ated by the whyis project¹⁸. This project proposes a
37 nano-scale knowledge graph infrastructure to support
38 domain-aware management and curation of knowledge
39 from different sources.

40 6. Conclusion and Future Works

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42
43
44 In this paper, we proposed FRESH, an approach
45 for making scientific workflow data [REVISION more
46 findable and reusable], with a focus on genomic
47 workflows. To do so, we utilized data-summaries,

48
49 ¹⁶<https://figshare.com/>

50 ¹⁷<https://dataverse.org/>

51 ¹⁸<http://tetherless-world.github.io/whyis/>

1 which are generated based on provenance and domain-
2 specific ontologies. FRESH [REVISION comes in
3 two flavors] by providing concise human-oriented
4 and machine-oriented data summaries. Experimenta-
5 tion with a production-level exome-sequencing work-
6 flow shows the effectiveness of FRESH in terms of
7 time, the overheads of producing human-oriented and
8 machine-oriented data summaries are negligible com-
9 pared to the computing resources required to analyze
10 exome-sequencing data. FRESH open several per-
11 spectives, which we intend to pursue in our future
12 works.

13 [REVISION So far, we have focused in FRESH
14 on the findability (F) and reuse (R) of workflow data
15 products. We intend to extend FRESH to cater for the
16 two remaining FAIR criteria (A, I). To do so, we in-
17 tend to rethink and redefine interoperability and acces-
18 sibility when dealing with workflow data products and
19 public catalogues, before proposing solutions to cater
20 for them. We then plan to evaluate the effectiveness
21 of FRESH through a user study when it comes to the
22 reuse of genomic data, and its portability to other do-
23 mains and communities. Finally, we intend to identify
24 means for the incentivization of scientists to (1) pro-
25 vide tools with high quality domain-specific annota-
26 tions (2) generate and use domain-specific data sum-
27 maries to promote reuse.]

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29
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31 and CNRGH who provided their insights and exper-
32 tise regarding the computational costs of large-scale
33 exome-sequencing data analysis.

34
35
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39 puting resources.]

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